HIGH PURITY β-CAROTENE AND PROCESS FOR OBTAINING SAME CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a Continuation of U.S. Patent Application Serial No. 08/864,103, filed May 28, 1997, and entitled "High Purity β -Carotene and Process for Obtaining Same."

BACKGROUND OF THE INVENTION

1. Field of the Invention:

[0002] The present invention relates to a process for the isolation and purification of both a natural mixed carotenoid product and an all-trans- β -carotene (TBC) product from a number of different biomass sources. More particularly, the present invention relates to a single solvent process whereby both nutritional and colorant products lie along the same process line.

2. Description of the State of Art:

[0003] Carotenoids are the most widespread class of naturally occurring pigments in nature, present without exception in photosynthetic tissue and occurring with no definite pattern in non-photosynthetic tissues such as root, flower petals, seeds and fruits. They are also found in algae, fungi, yeasts, molds, mushrooms and bacteria, and in many cases they are the major pigment in the exoskeleton of aquatic and avian species. Carotenoids and/or carotenes derive their names from the fact that they constitute the major pigment in the carrot root, one of the first foods observed to possess this class of pigments.

[0004] Carotenoids are probably best generally described as aliphatic, aliphatic-alicyclic, or aromatic structures composed of five-carbon isoprene groups, usually eight, so linked that the two methyl groups nearest the center of the molecule are in positions 1 and 6 and all other lateral methyl groups are in positions 1 and 5. A series of conjugated C-C double bonds constitutes the chromophoric system. The carotenoids are subdivided into acyclic, monocyclic, and bicyclic derivatives, and respective parent compounds of each of the above categories are lycopene, γ -carotene, and β -carotene. The prefix "neo" is used to designate carotenoid stereoisomers containing at least one *cis* configuration in the double-bond chain, the prefix "pro" to designate some poly-*cis*-carotenoids, and the prefix "apo" to designate a carotenoid that has been derived from another carotenoid by loss of a structural element through degradative action.

[0005] All-trans- β -carotene, shown in Figure 1, is generally considered as a class prototype. Beta-carotene is a symmetrical molecule of 40 carbon atoms, consisting of 8

isoprene units, having 11 conjugated double bonds, and possessing two β -ionone rings at the ends of the molecule. As will be discussed in further detail below the carotenoids, and specifically β -carotene are of particular importance not only because they represent a major dietary source of vitamin A, but also because they serve as excellent colorants and are the most prevalent in nature

Nutritional role of Carotenes

[0006] The main function of carotenoid pigments in man is a nutritional one: that of providing a source of vitamin A. Vitamin A or retinol has long been known to be necessary to the biochemistry of vision and to the proper function of the epithelial tissues. Deficiencies of vitamin A may lead to reduced visual sensitivity, such as, night blindness and in extreme cases complete blindness or reduced resistance to infection through epithelial surfaces.

[0007] While vitamin A may be administered directly to an individual, there is a limited bodily tolerance to vitamin A, and overdoses can lead to toxic effects. It is thus significant that the enzymatic processes in the liver, which convert carotenes to vitamin A, produces only the amount of vitamin A that can be utilized by the body; an overdose is not produced. Consequently, an individual can be administered doses of carotene in quantities large enough to produce optimum levels of vitamin A in the body without risk of a toxic vitamin A reaction. Excess administered β -carotene is stored in fatty tissues and organs. Since the concentrations of β -carotene in the edible plants is relatively low, large quantities of plants must be consumed, or else the β -carotene must be supplied as a dietary supplement.

[0008] It is now known that β -carotene's function as a surrogate for vitamin A is not its only role. According to reports and clinical studies, β -carotene may be an important chemopreventive or chemo-postponing agent of promise in aging, immune deficiency, senile cataracts, and in several other types of cancer.

Carotenoids as Food Colorants

[0009] Color is one of the most significant properties of food to most consumers. The color of food is a significant factor in determining its acceptability. Consumers decisions about whether or not to purchase food are largely based on color. Color serves as an early signal of the inherent qualities of a food, such as freshness, spoilage, readiness for consumption, or as a sign of immaturity, thus creating a priori color-taste expectancy relationship. Consequently, there has always been and always will be a desire for attractively colored foods as long as the eye signals the selection of the daily ingestion of food products for the stomach via the brain. It would seem to follow, therefore, that the food industry will

continue to require a vast array of acceptable, safe food colorants to satisfy consumer preferences. It is estimated that worldwide, the potential market for food colors may eventually reach several hundred million dollars or more annually.

[0010] The use of coloring agents to make food more attractive dates back to the early 1800's with the development of the food processing industry. Hundreds of coal-tar dyes were synthesized by 1900, of these, seven were selected as being physiologically harmless and suitable for food use. Due to safety reasons, however, only two of the seven coal-tar dyes are permitted to be in wide usage.

[0011] There appears to be a growing preference for natural-type colors in countries and by consumers around the world. The new color list of Switzerland distinguishes between colors occurring naturally in food and colors not naturally occurring in foods, and, in Norway, artificial colors may no longer be used. In Sweden, the use of artificial colors has been reduced to special cases only. Iceland has also established tighter controls over color additives to foods.

In general the all-*trans*- β -carotene is much more valuable than any of the *cis*-isomers, and is largely the only isomer of any commercial value. To date, all β -carotene used as a food colorant is synthetic; however, as consumers become increasingly more nutritionand health-minded, a growing interest is developing in what is present in the food supply and particularly what is added to it in the way of food additives. Food labeling has increased this interest and there is a trend afoot, in which consumers want to avoid unfamiliar compounds that comprise food additives, such as antioxidants, preservatives and colors. In an attempt to avoid the consumption of synthetic compounds consumers easily adopt the concept that if an additive is in natural food it must be safe and good.

[0013] To meet the growing commercial markets in the "natural" nutritional and coloring industries, a number of methods have been proposed to isolate and purify β -carotenes. Few procedures if any, however, have successfully overcome the considerable obstacles posed by the need to prepare compounds of high purity from natural sources in an economical manner while maintaining acceptability to the consumer and regulatory agencies.

[0014] A variety of different procedures for isolating and purifying β -carotenes from plant materials have been published. In the case of extracting β -carotene from palm oil, the known methods can be classified as follows:

(a) Extraction by saponification, wherein the palm oil is saponified to give soap, glycerol and a nonsaponifiable fraction containing carotenes. For examples of such, see

Patent Application Nos: GB 657,682; US 2,460,796; US 2,440,029; US 2,572,467; and US 2,652,433.

- (b) Iodine method, wherein iodine is added to a solution of palm oil in petroleum ether, an insoluble precipitate of carotene di-iodides is formed. The iode compounds when treated with sodium thiosulfate however yield iso-carotenes or dehydrocarotenes which are not natural.
- (c) Urea process, wherein triglycerides are broken down to fatty acids and methyl esters which then form insoluble compounds with urea thiourea, leaving the carotenoids in the remaining liquid.
- (d) Extraction using Fuller's earth or activated carbon, wherein recovery of the carotenoids from the earth gives oxidized or isomerized carotenoids. For examples of such, see Patent Application Nos.: GB 691,924; GB 1,563,794; and US 2,484,040.
- (e) Extraction by selective solvents has been carried out using propane or furfural, see U.S. Patent No. 2,432,021.
- (f) Molecular distillation at 10-3 to 10-4 mm Hg. A process of *trans* esterification followed by molecular distillation of the ester. Fractions collected at 230°C have a carotene content of about five times that of the original oil.
- [0015] Liaaen-Jensen, S., *The Carotenoids* (O. Isler, ed), Birkhauser Verlag, Basel, p. 61 (1971), and Britton, G., *Methods Enzymol.*, 111:113 (1985) described the extraction of carotenoids from plant and animal tissues. In brief, oxygen, light and heat are the most destructive factors and should be carefully avoided. The presence of oxygen during extraction may result in the formation of oxidative artifacts, or the disappearance of compounds, such as, phytofluene, due to complete oxidative breakdown. Furthermore, light and heat may cause isomerization. Peroxide-free solvents and an antioxidant such as butylated hydroxytoluene (BHT) should always be used during the extraction of carotenoids. If possible, exposure to acid and alkali (except for saponification) should also be avoided.
- [0016] U.S. Pat. No. 4,680,314 to Nomura et al., discloses a process for concentrating algae and extracting β -carotene with an edible oil such as vegetable oil at elevated temperatures, that is, 66° to 100°C. The carotene concentration in the oil extract was reported to be on the order of 1.9%.
- [0017] U.S. Patent No. 4,439,629 to Ruegg et al., discloses a process for treating algae with calcium hydroxide at an elevated temperature to saponify the chlorophyll and produce a residue which is then filtered, dried, and extracted with a solvent, such as a

halogenated hydrocarbon or an aliphatic or aromatic hydrocarbon, and recrystallized to yield enriched all-trans- β -carotene.

[0018] The above technical papers and patents are just a few examples of the many processes that currently exist in the literature, whereby β -carotenes are extracted and isolated from various plant materials. However each process disclosed involves multiple steps using various solvents. Consequently, the disclosed processes are not easily scaled up to an efficient commercial process where disposal considerations of various solvents play an important role in the overall feasibility of the process.

[0019] A further disadvantage of the processes disclosed in the literature is the inability to achieve a high concentration and purity level of the all-trans-β-carotene isomer. A number of methods have been developed to convert the cis-carotenoids to all-trans-carotenoids; however, these methods utilize synthetic starting materials and/or are unable to yield a pure all-trans-β-carotene product. Invariably a small amount of cis-isomers are present as contaminants in the final product. See, U.S. Patent Nos. 2,849,507; 3,441,6233; and 3,989,757.

[0020] There is still a need, therefore, for a process and procedure for isolating and purifying natural carotenoids for nutritional use and further enhancing for and purifying the all-trans- β -carotene for use as a natural colorant.

SUMMARY OF THE INVENTION

[0021] Accordingly, it is an object of the present invention to provide a simplified method for the extraction, isolation and purification of carotenoid compounds.

[0022] It is a further object of the present invention to provide a single solvent process whereby both nutritional and colorant products lie along the same process line.

[0023] It is also an object of the present invention to increase the yield of all-trans- β -carotene.

[0024] Additional objects, advantages and novel features of this invention shall be set forth in part in the description that follows, and in part will become apparent to those skilled in the art upon examination of the following specification or may be learned by the practice of the invention. The objects and advantages of the invention may be realized and attained by means of the instrumentalities, combinations, and methods particularly pointed out in the appended claims.

[0025] To achieve the foregoing and other objects and in accordance with the purposes of the present invention, as embodied and broadly described therein, the method of

this invention comprises contacting a plant material containing carotenoids with a solvent thereby forming an extract that is subsequently filtered and heated so as to evaporate off substantially all of the solvent resulting in a mixture of carotenoids. The mixture of carotenoids can be further isomerized to obtain an all-*trans*-β-carotene.

[0026] The present invention is also directed to a composition of naturally obtained all-trans-β-carotene having a purity level greater than 98%.

BRIEF DESCRIPTION OF THE DRAWINGS

[0027] The accompanying drawings, which are incorporated in and form a part of the specification, illustrate the preferred embodiments of the present invention, and together with the descriptions serve to explain the principles of the invention.

In the Drawings:

[0028] Figure 1 is a structural representation of β -carotene.

[0029] Figure 2 is a graphical representation of the percentage change in trans- β -carotene due to isomerization of cis- β -carotene compounds at three different temperatures.

[0030] Figure 3 is a graphical representation of the time required to reach the maximum ratio of *trans* to *cis* isomers when the temperatures are stacked in accordance with the present invention.

[0031] Figure 4 is the experimental data of Example 1 representing the percentage change in trans- β -carotene due to the isomerization of cis- β -carotene.

DETAILED DESCRIPTION OF THE INVENTION

In general the present invention relates to a single solvent process whereby both a natural mixed carotenoid product and an all-trans- β -carotene colorant product lie along the same process line. The nutritional product contains the natural array of carotenes and xanthophylls found in the plant material, while the colorant product contains primarily trans- β -carotene. The process includes contacting a plant material that contains β -carotene with a solvent, thus resulting in a crude extract containing a mixture of compounds that includes carotenoid compounds. The crude extract is filtered to remove suspended fine plant materials and then heated to evaporate substantially all of the solvent resulting in an oil. The oil may be used as a nutritional product or as a precursor to a colorant product. If a colorant product is desired, the oil is further heated, thereby isomerizing the cis- β -carotene compounds to all-trans- β -carotene isomers. The all-trans- β -carotene compounds are then crystallized with the addition of cold solvent.

The β-carotene containing compositions of the present invention may be prepared from a variety of plant materials, such as algae, palms, vegetables such as spinach, broccoli, alfalfa, and other plants. Preferably the plants are algae. Among the algae, the preferred classes are Chlorophyta (green algae), of which the preferred genus is *Dunaliella*. Other genera may also be used so long as carotene can be produced in relatively large quantities. Cultivation techniques may significantly increase the amount of carotene present in each algal cell or body.

[0034] Typically, the algae are raised in shallow tanks, bioreactors, man-made or natural ponds at a wide range of temperatures, such as from 15 to 50°C, and more preferably from about 25 to 45°C. Preferably the culture medium is salt water, but fresh water can also be used. Fresh water may be made saline by the addition of salt as a culture medium. The medium may be supplemented by the addition of nitrate, phosphate, bicarbonate, iron and trace minerals. Protocols for the large scale propagation of algae are described in, for example, Richmond, A., *Handbook of Microalgal Mass Culture*, CBC Press, Boca Raton, Fla., (1986), and Ben-Amotz, A., *Algal and Cyanobacterial Biotechnology*, Longman Scientific and Technical Press, pp. 90-114, (1989), both of which are incorporated herein by reference. When the algal culture reaches the desired density, such as about 0.25 to 0.5 grams dry weight/liter, as determined by absorbance, the algae are harvested from the tank or pond by pumping out the water slurry containing the dispersed algae. The slurry may be passed through screens which are sufficiently coarse to allow algae through but which remove larger unwanted objects.

[0035] In the preferred embodiment, the slurry is dewatered and concentrated by centrifugation, evaporation, flocculation, dispersed air flotation, etc. Following this concentration step, the emulsifying agents, such as glycerol, are removed from the algal material using ultra-filtration. The algal material is pumped through a fill valve into a feed tank which is connected in a closed loop system to an ultra-filter. When the feed tank has the required amount of algal material, the fill valve is closed and the algal material is pumped through the ultra-filter at temperatures in the range of 60 to 70 °C. When the algal material has been concentrated to half its original volume, filtered fresh water is added to bring the algal material back to its original volume. The fresh water is filtered through 10 μ m and 0.2 μ m filters prior to being added to the algal concentrate. The process is repeated again, and preferably three more times for a total of four washes. The fresh water washes remove the salt and water solubles from the algal material. The ceramic membrane pore sizes used in the

ultra-filtration unit are in the range of 0.09 - $0.5~\mu m$, and preferably $0.1~\mu m$. The performance of the $0.1~\mu m$ filter is comparable to the $0.5~\mu m$ filter, but it is less likely to plug with algal solids. The cleaning and sterilization procedure entitled "MAMBRALOX® Ceramic Membrane Modules" described by US Filter, United States Filter Corporation was followed, and is hereby incorporated by reference. The carotenes are then extracted from the ultra-filtered algal material or other plant preparation by use of a suitable organic solvent. The extraction and subsequent purification procedures are typically performed under low light intensity and under vacuum or an atmosphere of inert gas (e.g., nitrogen) to maximize recovery of non-oxidized carotenes. The extraction solvent used in the present invention is heptane, a non-acidic solvent.

In the extraction step, the temperature of extraction is between 25 to 100°C, with 45 to 60°C being preferred. The amount of algal material to solvent mixture used in the extraction process varies between 1:30 to 1:3,000 on a gram to milliliter basis, with 1:200 to 1:400 being preferred. The plant material prior to the addition of solvent typically contains in the range of 100 to 900,000 ppm of solvent and preferably 0 to 70,000 ppm. Carotenoid extraction is carried out in a container, preferably a baffled container, using an overhead, high shear mixer, such as a Lightnin Lab Mixer (Model No. L1U08F manufactured by Lightnin) at 0.070 to 0.4 hp/gal for a time period of 10 minutes to five hours, with 20 minutes to 60 minutes being preferred. The resulting extract is allowed to stand for a period of time sufficient to form two phases. The top organic phase, containing the carotenoids, is removed and saved whereupon an equivalent volume of fresh solvent is added to the lower phase and the extraction sequence is repeated. Again the extract is allowed to stand for a period of time sufficient to achieve the formation of two phases. The top organic phase is removed and pooled with the prior organic phase.

The pooled organic phase is then filtered in vacuo through a filter having a pore size in the range of 0.5-100 μm, and preferably 10 μm. Whatmann #1 filter paper is preferred. The temperature of the organic phase prior to filtration is between -20 to 100°C, with room temperature being preferred. The filtrate, which contains the mixed carotenoids is heated to a temperature between 80 and 100°C, and preferably 98°C to remove most of the solvent resulting in the oil intermediate. Alternatively, the filtrate is concentrated under reduced pressure at a temperature of about 50° C to produce a substantially solvent free oil. The still hot oil intermediate is *trans*ferred to a vacuum oven, preheated in the range of 80 and 100°C and preferably 98°C, for a period of time sufficient to remove the residual solvent. Typically the residual solvent will be removed in 1 to 72 hours, with 16 hours being

preferred. The resulting reddish oil product contains 30% to 40% carotenoids by weight and has less than 100 ppm residual solvent as measured using GC/MS head space analysis. This oil comprising both cis and trans isomers of β -carotene is suitable as a nutritional product, or the resulting oil can be used as an intermediate in the production of a high purity all-trans- β -carotene product which may be used as a natural food colorant.

As is demonstrated in Figure 2, the equilibrium ratio of the trans- β -carotene [0038] and cis-β-carotene isomers is temperature dependent. In theory, the cis isomers contained in the oil from the previous step if kept at room temperature would ultimately be converted to the trans form; however, this conversion or isomerization would take months or possibly years. However, when a carotenoid mixture having 70% trans-β-carotene and 30% cis-βcarotene is heated to 140°C, approximately 11% of the cis-β-carotene isomers will ultimately be converted to the trans isomer form. Consequently, this trans:cis isomer equilibrium, represented by curved line 20, is reached at approximately 81% trans-β-carotene to 19% cis-β-carotene. However, when the same mixture is heated to 120°C the trans:cis equilibrium, represented by curved line 22, is reached at approximately 88% trans-β-carotene to 12% cis-β-carotene. Finally, when the heat is reduced to 105°C, 26% of the cis-β-carotene isomers are converted to the trans form, represented by curved line 24. Consequently, depending upon the desired percentage of trans- β -carotene, the temperature can either be raised, thereby yielding a low percentage of trans-β-carotene in a short period of time or lowered, thereby yielding a high percentage of trans-\beta-carotene but over a long period of time.

The final step in the process of the present invention, the isomerization step, subjects the reddish oil from the previous step to a temperature in the range of about 90°C to 140°C and preferably in the range of 100°C to 120°C in an inert atmosphere for a period of time sufficient to result in the isomerization of the cis- β -isomers. Preferably, heating of the oil is carried out for approximately 15 to 35 hours.

[0040] In an alternate embodiment, shown in Figure 3, the time required to reach the maximum equilibrium, represented by curved line 26, is substantially decreased by stacking the linear isomerization rates of discrete temperatures. It should be noted that while temperature defines the equilibrium ratio of trans-β-carotene to cis-β-carotene, the rate at which this ratio increases occurs much more rapidly at higher temperatures than it does at lower temperatures, that is, approximately 7% of the cis isomers will be converted to the trans form in approximately 2 1/2 hours at 140°C, shown as the straight line 20' versus 3 3/4

hours at 120°C, straight line 22' and approximately 10 hours at 105°C, straight line 24'. Figure 3 is illustrative of the results obtained by stacking only three temperatures, that is, 140°C, 120°C, and 105°C. At knee 30, Figure 3, the 140°C time period ceases and the 120°C time period begins, and knee 32 represents the end of the 120°C time period and the beginning of the 105°C time period. Curved line 26 would obviously be optimized if all the possible time periods between 140°C and 105° C were plotted.

[0041] Consequently, the second embodiment of the isomerization step of the present invention contemplates subjecting the oil from the previous step to a starting temperature of approximately 140°C, and then gradually reducing the temperature at a rate that maintains an optimum rate of isomerization until the desired equilibrium of *trans*: *cis* isomers is reached. This may be accomplished by placing the oil in an insulated tank at a starting temperature of approximately 140°C. However, the starting temperature will be dependent on the percentage of *trans*-β-carotene in the starting material, that is, if the percentage of *trans*-β-carotene is greater than approximately 77% the starting temperature will be reduced accordingly. The tank is then purged of air by filling it with an inert gas, such as argon, and the temperature of the tank is then gradually reduced so as to maintain an optimum rate of isomerization, represented by line 26'.

The isomerized product is then washed twice with a solvent such as heptane at a temperature of -15° to 25°C to remove all soluble impurities resulting in a product suitable for use as a colorant product. The wash at a lower temperature causes the all-trans- β -carotene isomers to crystallize and fall out of solution. Surprisingly, the crystallization in combination with the isomerization allows for an overall recovery of approximately 130% (with respect to the initial amount of trans- β -carotene). Even more surprisingly, from the crude oil extract a purity level of greater than 98% is achieved.

[0043] The following non-limited examples provide specific high yield, high purity processes for isolating and purifying carotenes from plant tissues. All scientific and technical terms have the meanings as understood by one with ordinary skill in the act. Carotenoid recovery was assayed using the YMC3 HPLC method. HPLC was measured on a Hitachi 2000 spectrophotometer. Commercially available chemicals were used without any further purification.

EXAMPLE 1

Preparation of trans-β-carotene for use as a Colorant Product

A. Extraction of Carotenoid:

[0044] To 400 g ultra-filtered algal material 1600 ml of heptane, preheated to 50°C, was added. The components in a 4 L baffled beaker were mixed at 1800 rpm for 20 minutes using a Lightnin Lab Mixer with a combination of high shear and high flow impellers. A water bath heated to 50°C was used to maintain temperature throughout the extraction. As demonstrated in Tables 1, 2 and 3 below, the types of impellers, the mixing time, and the mixing speed all have an important impact on the β-carotene (BC) recovery.

Table 1: Extraction-Impeller Comparison

Impeller Type	Extraction 1: BC Recovery %	Extraction 2 : BC Recovery %	Extraction 3: BC Recovery %	Extraction 4 : BC Recovery %
Marine	49	77	89	95
Sawtooth	70	90	94	96
Combination	68	90	94	96

Table 2: Extraction-Mixing Time Comparison

Power	Mixing Time: 4 minutes	Mixing Time: 10 minutes	Mixing Time: Mixing Tim 15 minutes 20 minutes		
	BC Recovery	BC Recovery	BC Recovery	BC Recovery	
1711-127	77%	82%	90%	93%	

Table 3: Extraction-Mixing Speed Comparison

Experiment	Temperature after mixing	% Heptane Recovery	% BC Recovery
3.0 K rpm	30°C	97	75
4.7 K rpm	30°C	96	86
6.0 K rpm	34°C	96	93

The baffled beaker was allowed to stand for 30 minutes before the top heptane extract was decanted. In this manner a total of four extractions were carried out. The extracts and spent algal material were assayed and organic layers pooled. The β -carotene extract pool was filtered through a Whatmann #1 filter paper and assayed using the YMC3 HPLC method disclosed in a YMC Technical Data Bulletin, titled "Carotenoid Column,"

YMC, Inc., Wilmington, N.C., and incorporated herein by reference.

B. Evaporation

[0046] Four liters of β-carotene extract were concentrated by rotary evaporation to remove the heptane, in continuous feed mode, at 50°C to an oil. A small amount of heptane (about 20 mL) was added back to the 2 L rotary evaporation flask in order to facilitate transfer to a tared 100 mL round bottom. The mixture was again concentrated to an oil at 50°C by rotary evaporation to remove the heptane. The contents of the flask were assayed and the results are represented in Tables 4 and 5 below.

Table 4: Evaporation-Residual Heptane

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Material	Residual Heptane (ppm)	Carotenoid Purity (% in oil)	Carotenoid Recovery (%)	Mass Balance (%)
Starting Material (algae oil)	60000	35		
Low heptane mixed carotenoids	<100	42	100	100.3

Table 5: Evaporation-Carotenoid Profile

Material	Lutein	zeaxanthin	cis-beta- carotene	trans-beta- carotene	trans-alpha- carotene
Starting material	1.2	0.4	49.5	45.3	3.6
Low heptane Mixed Carotenoids	1.3	0.3	50.7	43.2	4.4

[0047] This produced an algae oil containing less than 100 ppm residual heptane, suitable for use as a nutritional product or as an intermediate in colorant production.

C. <u>Cis/trans isomerization:</u>

[0048] The algae oil (2.73 g) was weighed into a dried (100°C for 5 hours) tared 10 ml round bottom flask. The flask was purged of air by filling with argon for about 0.5 hours. The oil was heated to 120°C for 24 hours with stirring under an inert atmosphere. The purpose of the isomerization step was to increase the yield of *trans*-β-carotene (TBC) in route

to the colorant product. In a separate experiment, the results of which are shown in Figure 4 and Table 6 below, isomerization of 13 and 9-*cis*-β-carotene to *trans*-β-carotene was found to occur at temperatures between 105° to 140°C although significant degradation was found to occur at 140°C.

Table 6: Isomerization-TBC Recovery

Experiment	TBC Recovery % @ 24 hour	Carotenoid Loss % @ 24 hour
105°C	148	0
110°C	170	0
120°C	190	4
130°C	142	11
140°C	88	33

[0049] It was determined that 120°C gave the highest *trans*-β-carotene recovery at 190% after 24 hours with only 4% loss of total carotenoids.

D. Heptane wash step:

[0050] To 2.5 g of the isomerization product was added 7 ml of cold heptane C (-10°C). The material was stirred with a spatula and then chilled to -20°C for 1 hour. The material was filtered and washed three times with 13 ml of cold heptane (-10 to -5°C). The purpose of the washes is to remove all heptane soluble impurities. Table 7 below demonstrates that three washes are adequate to remove all soluble impurities.

Table 7: Colorant Wash-Impurity Removal Data

Experiment	% Carotenoid Impurity Removal	% Trans beta-carotene loss
First Wash	95	6
Second Wash	98	1
Third Wash	100	1

[0051] The crystals were dried overnight (17 hours) in a vacuum oven (50°C). Table

8 shows the data from eight different experiments for trans- β -carotene recoveries for use as colorant products. The results from this Example 1 are given in the first line of the table.

Table 8: Trans-beta-Carotene Recoveries for Colorant Product

Experiment	Extraction	Isomer.	Wash	Total
_ ^	Recovery*	Recovery*	Recovery*	Recovery*
	(%)	(%)	(%)	(%)
Example I	98	144	91	128
	97	150	97	141
	97	146	95	135
	96	136	94	123
	97	127	84	103
	97	175	93	158
	98	148	86	125
	81	157	81	103
Average	95	149	90	127

^{*}Recoveries are with respect to initial amount of trans-β-carotene

EXAMPLE 2

Preparation of Carotenoid Nutritional Product

A. Extraction of Carotenoid:

[0052] To 0.5 g of algae oil containing 60,000 ppm heptane, 150 ml of technical grade heptane C preheated to 50°C was added. The algae oil was dissolved with stirring using a magnetic stirrer. The solution, which was allowed to cool to room temperature, was filtered in vacuo through Whatmann # 1 filter paper. The filtrate, which contained the mixed carotenoids, was collected and stored in an amber bottle at room temperature.

B. Mixed Carotenoid Product

[0053] A 10 ml aliquot from the filtrate was transferred via pipette into a tared aluminum pan. The pan was placed inside a convection oven at 95°C for 22 minutes. The pan was removed from the oven and placed directly into a vacuum oven at 95°C for one hour. The oil was assayed for carotenoids and residual solvent by the GC/FIND direct injection method.

C. Residual Heptane by Headspace Analysis

[0054] Four aliquot containing 10 ml of the filtrate were placed into tared vials. The heptane was removed by heating the containers to 95°C for one hour. The remaining oil was quickly transferred into a vacuum oven at 95°C where it was kept for 16 hours.

Results

[0055] The vials were weighed and spiked with 0-4 μ L of heptane. Analysis by standard addition headspace GC/MS showed the algae oil to contain 65 ppm heptane C.

Table 9

Material	Residual Heptane (ppm)	Carotenoid Purity (% in oil)	Carotenoid Recovery (%)	Mass Balance (%)
Starting Material (algae oil)	60000	35		
Low heptane mixed carotenoids	Not Detected	42	100	100.3

[0056] The carotenoid ratio before and after heptane evaporation was compared and found to be very similar.

Table 10

Material	Lutein	zeaxanthin	cis-beta- carotene	trans-beta- carotene	trans-alpha- carotene
Starting material	1.2	0.4	49.5	45.3	3.6
Low heptane Mixed	1.3	0.3	50.7	43.2	4.4
Carotenoids					

[0057] The foregoing description is considered as illustrative only of the principles of the invention. Furthermore, since numerous modifications and changes will readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and process shown as described above. Accordingly, all suitable modifications and equivalents may be resorted to falling within the scope of the invention as defined by the claims which follow.